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## VISUAL DIALOGUE WITHOUT VISION OR DIALOGUE

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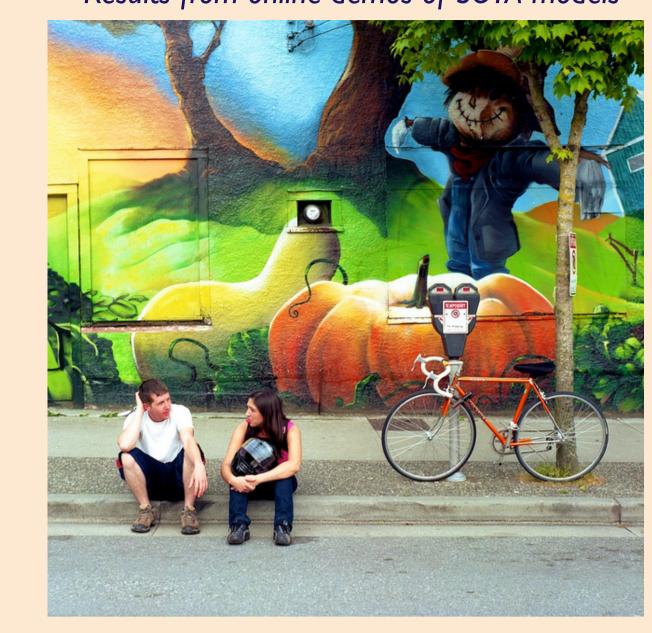
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# CCA FOR VISUAL DIALOGUE (VD)

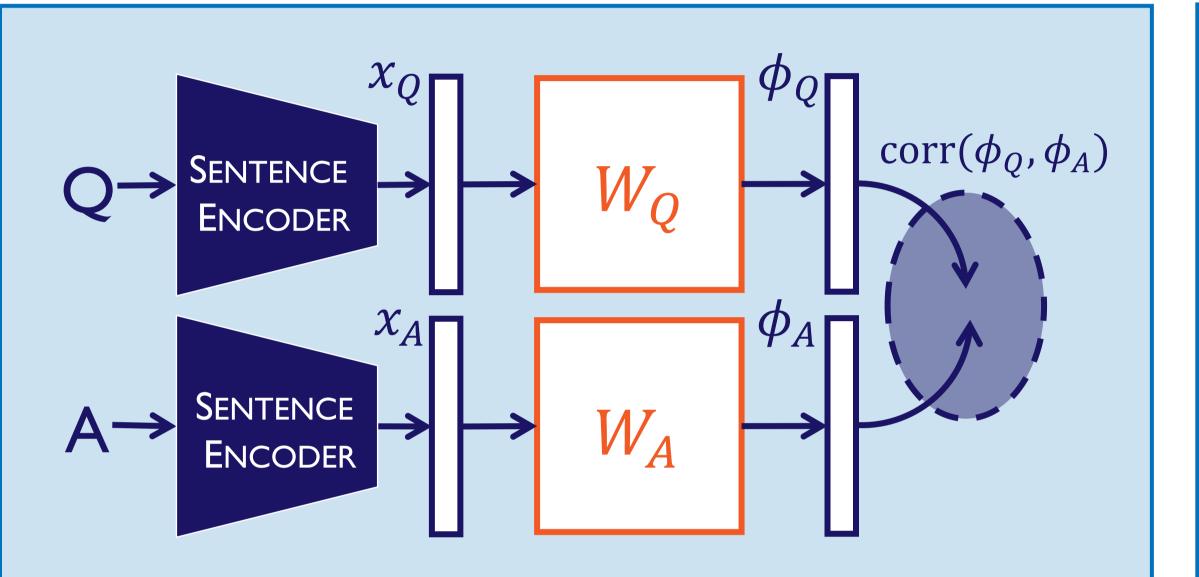
- Visual Dialogue (VD) involves answering a sequence of questions about an image
- We apply Canonical Correlation Analysis (CCA) to just questions and answers
- Our method:
  - Ignores visual stimulus & dialogue sequence,
  - Does not need gradients,
  - Uses off-the-shelf feature extractors,
  - Uses ~0.009% parameters of state-of-the-art models, and
  - Learns in a few (CPU) seconds.





- Surprisingly good performance highlights implicit dataset biases & quirks of evaluation metrics
- Need for better balanced visuo-linguistic datasets and evaluation protocols

Question	Answer
How old is the baby?	About 2 years old
Where is the train?	On the road
How many cows are there?	Three



**CCA vs. SOTA** in ranking performance on VisDial

		Model	#params	Time (s)	MR	R@I	R@5	R@10	MRR
	v0.9	HCIAE-G-DIS [3]	$2.12 \times 10^{7}$	-	14.23	44.35	65.28	71.55	0.5467
OTA		CoAtt-GAN [4]	-	-	14.43	46.10	65.69	71.74	0.5578
S		HREA-QIH-G [5]	$2.42 \times 10^{7}$	-	16.69	42.28	62.33	68.17	0.5242
	6.	A-Q	$1.80 \times 10^{5}$	2.0	16.21	16.77	44.86	58.06	0.3031
A	٥ ٥	A-QI (Q)	$3.33 \times 10^{5}$	3.0	18.29	12.17	35.38	50.57	0.2427
CCA	0.	A-Q	$1.80 \times 10^{5}$	2.0	17.08	15.95	40.10	55.10	0.2832
	>	A-QI (Q)	$3.33 \times 10^{5}$	3.0	19.24	12.73	33.05	48.68	0.2393

### MULTI-VIEW CCA [1,2]

Given question  $x_0 \in \mathbb{R}^{n_0 \times 1}$  and answer  $x_A \in \mathbb{R}^{n_A \times 1}$ , learn projections  $W_0 \in \mathbb{R}^{n_0 \times p}$ ,  $W_A \in \mathbb{R}^{n_A \times p}$  where  $p \leq \min(n_0, n_A)$  such that  $\operatorname{corr}(W_0^T x_0, W_A^T x_A)$ , is maximised

$$\begin{bmatrix} \lambda_{1} \cdots \lambda_{p} \cdots \lambda_{n_{Q}+n_{A}} \end{bmatrix}, \begin{bmatrix} v_{1,1} & \dots & v_{1,p} & \dots & v_{1,n_{Q}+n_{A}} \\ \vdots & & \vdots & & \vdots \\ v_{n_{Q},1} & \dots & v_{n_{Q},p} & \dots & v_{n_{Q},n_{Q}+n_{A}} \\ \vdots & & \vdots & & \vdots \\ v_{n_{Q}+n_{A},1} \cdots & v_{n_{Q}+n_{A},p} & \dots & v_{n_{Q}+n_{A},n_{Q}+n_{A}} \end{bmatrix} = EVD \begin{bmatrix} \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} \begin{bmatrix} x \end{bmatrix} = \lambda \begin{bmatrix} C_{11} & 0 \\ 0 & C_{22} \end{bmatrix} \begin{bmatrix} x \end{bmatrix} \end{bmatrix}$$

 $C_{11}, C_{22}$  and  $C_{12}, C_{21}$  are intra- and inter-view correlation matrices **Projection**  $\phi(x_i, W_i) = (W_i D_p^k)^T x_i$  where  $D_p^k = diag(\lambda_1^k, \dots, \lambda_p^k)$  and  $\lambda_1 \ge \dots \ge \lambda_p$ are eigenvalues and  $k \in \mathbb{R}$  is a scaling factor

- **2-view approach can be generalised to views**  $x_i \in \mathbb{R}^{n_i}$  and  $W_i \in \mathbb{R}^{n_i \times p}$ ,  $i \in \{1, ..., m\}$

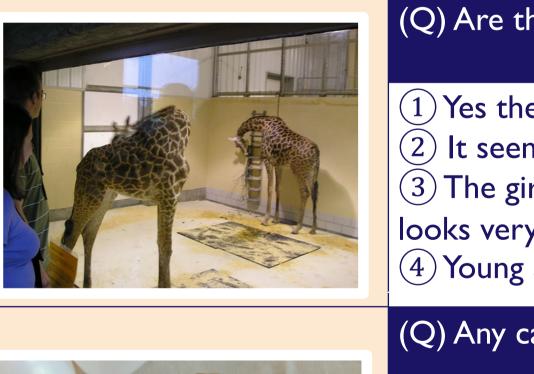
## **EXPERIMENTAL ANALYSES**

- Represent answers & questions by average FastText vectors (300D), images by ResNet features (512D)
- **Train**: CCA to learn joint embeddings between:
  - Answers & questions (A-Q, 2-view CCA)
  - Answers, questions & images (A-QI, 3-view CCA)
- **Evaluate**: rank candidate answer set per question using embedding
- Near SOTA MR with ~0.009% parameters & seconds on CPU
- For given question & corresponding candidate answers
  - 96.9% ground-truth answer ranks  $< T_c(\sigma^2 = 0.023)$
  - 87.2% ground-truth answer rank  $< T_g(\sigma^2 = 0.018)$

where  $T_c$  and  $T_G$  are ISODATA thresholds computed on VisDial candidates & candidates "generated" by CCA A-Q

#### Generating plausible answers with CCA

Recalling top-k answers to nearest-neighbour questions in train set





(Q) Are there other animals?
No (G
1 No, there are no other animals
2 No other animals
$\bigcirc$ There are no other animals arour
4 Don't see any animals

(Q) Any candles on the cake? (Q) Is the cake cut? No, but the boy sure Just a large "number one" (GT) has had his hands in it! (GT) (1) There are no candles on the cake (1) No it's not cut (2) I actually do not see any candles (2) No the cake has not been cut (3) Nothing is cut on the cake (3) No, no candles (4) No, the cake is whole (4) No candles

#### **Bad mean rank (MR) doesn't always mean bad answers** Top-ranked candidates are plausible, but rank assigned to ground-truth answer is high

	Question	CCA Top-3
	Rank + GT Answer	Rank + Answer
	What colour is the bear? 50 Floral white	<ol> <li>White and brown</li> <li>Brown and white</li> <li>Brown, black and white</li> </ol>
	Does she have long hair? (1) No	<ol> <li>No, it is short hair</li> <li>Short</li> <li>No it's short</li> </ol>
R BERKELLY BART	Can you see any passengers? ④ Not really	<ol> <li>No</li> <li>Zero</li> <li>No I cannot</li> </ol>
	Are there people not on bus? 2 Few	<ol> <li>No people</li> <li>No, there are no people around</li> <li>I don't see any people</li> </ol>

#### CONCLUSIONS

- It is possible to perform "well" without a visual stimulus
- Poor ranking performance doesn't always correspond to poor answers
- Assigning a single ground-truth answer is restrictive VisDial v1.0 ameliorates this with similarity scores for candidate answers
- Embedding space learned by CCA is useful for answer "generation"
- Simple methods like CCA should be used alongside deep approaches

[1] H. Hotelling. Relations between two sets of variates. Biometrika, 1936. [2] J. R. Kettenring. Canonical analysis of several sets of variables. Biometrika, 1971 [3] J. Lu, A. Kannan, J. Yang, D. Parikh, and D. Batra. Best of both worlds: Transferring knowledge from discriminative learning to a generative visual dialog model. In NIPS, 2017. [4] Q.Wu, P.Wang, C. Shen, I. Reid, and A. van den Hengel. Are you talking to me? Reasoned visual dialog generation through adversarial learning. arXiv, 2017. [5] A. Das, S. Kottur, K. Gupta, A. Singh, D. Yadav, J.M.F. Moura, D. Parikh, and D. Batra. Visual Dialog. In CVPR, 2017.